



| Ар | plicant Name:  |  | Agent Name:<br>Address:                              |                             |   |      |
|----|--|--|--|-----------------------------|---|------|
| Ma | ailing Address:  | (12:   | From:  |                             | ::<br>To:<br>address of the Applic                          | ant) |
| Αp | oplicant's website address:  |  |  |                             |   |      |
| Ар | plicant is:  | • =  | Partnership [ Other (Specify)                        | Joint Venture<br>)          | 2   |      |
|    | Year Business was founded: Kind of License and N                           | lo.: Ye  | sperience in tradar<br>ar licensed issu              |                             | nsed?   |      |
| 2. | List all business names which you  | have used in   | the past:  |                             |   |      |
|    | States in which you are licensed t   | o do business  | :  |                             |   |      |
| 3. | Describe <u>all</u> your operations in de                                  | etail:   |  |                             |   |      |
| 4. | Percent of your operations: Gen  | eral Contract  | or % S   | Subcontractor               | %   |      |
|    | Owner Builder % Develope   | r % Co   | nsultant %   | 6 Project Man               | ager %  |      |
|    | # of Active Ov   | vners and Off  | icers:   |                             |   |      |
| 5. | Estimates for the next 12 months<br>Employee Payroll \$                    |  | tractor Cost \$                                      | Gross Sa                    | les\$   |      |
|    | 2 <sup>nd</sup> Prior Year Employed<br>3 <sup>rd</sup> Prior Year Employed | e Payroll \$<br>e Payroll \$<br>e Payroll \$<br>e Payroll \$ | Subcontrac<br>Subcontrac<br>Subcontrac<br>Subcontrac | ctor Cost \$<br>tor Cost \$ | Gross Sales \$ Gross Sales \$ Gross Sales \$ Gross Sales \$ |      |

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6. Indicate the percentage of construction work performed by you:

| Total                     | 100% | Total                                   | 100% | Total        | 100% |
|---------------------------|------|---|------|--------------|------|
| Other                     | %    | Other                                   | %    |              |      |
|                           |      | Hospital Projects                       | %    |              |      |
|                           |      | Airport Projects                        | %    |              |      |
| Repair /Service           | %    | Petro/Chemical Refineries               | %    |              |      |
| Non-Structural Remodeling | %    | Residential/Habitational                | %    |              |      |
| Structural Remodeling     | %    | Industrial Projects                     | %    | Outside Work | %    |
| New Construction          | %    | Commercial Projects                     | %    | Inside Work  | %    |
|                           |      | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |      |              |      |

7. Describe your largest projects that you have performed during the past five years, Including cost:

#### TRADES PERFORMED BY APPLICANT OR SUBCONTRACTOR

8. Indicate the anticipated percentage of construction work over the next twelve months to be performed by you using percentage of your total payroll under "Direct" and percentage of your total subcontract costs under "Subbed' as the basis":

|                    | <u>Direct</u> | <u>Subbed</u> |                       | <u>Direct</u> <u>Su</u> | <u>bbed</u> |
|--------------------|---------------|---------------|-----------------------|-------------------------|-------------|
| Blasting           | %             | %             | Mechanical            | %                       | %           |
| Boilers            | %             | %             | Painting              | %                       | %           |
| Carpentry          | %             | %             | Plastering            | %                       | %           |
| Concrete           | %             | %             | Plumbing              | %                       | %           |
| Demolition         | %             | %             | Roofing               | %                       | %           |
| Drilling           | %             | %             | Seismic Retro-Fitting | %                       | %           |
| Earthquake Repair  | %             | %             | Sewer                 | %                       | %           |
| Electrical         | %             | %             | Steel (Structural)    | %                       | %           |
| Elevator/Escalator | %             | %             | Steel (Ornamental)    | %                       | %           |
| Environmental      | %             | %             | Street/Road           | %                       | %           |
| Excavation         | %             | %             | Stucco &/or EFIS      | %                       | %           |
| Grading            | %             | %             | Supervisory Only      | %                       | %           |
| Insulation         | %             | %             | Swimming Pool Constr. | %                       | %           |
| LPG Work           | %             | %             | Traffic Signals       | %                       | %           |
| Maintenance        | %             | %             | Water/Gas Mains       | %                       | %           |
| Masonry            | %             | %             | Welding               | %                       | %           |

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# PREMIER UNDERWRITERS, INC

| 9.  | Are certificates of insura                                   | ance obtai       | ined from subcontrac                              | ctors                  | Ye        | es No                     |               |             |
|-----|--|------------------|---|------------------------|-----------|---------------------------|---------------|-------------|
|     | Minimum Limits Require                                       | ed \$            |   |                        |           |                           |               |             |
| 10. | Are written contracts obtained a Yes No If r                 |                  |   |                        | clude a h | old harmless cl           | ause in your  |             |
| 11. | Are you named as an ad                                       | ditional ir      | nsured on all subcont                             | ractors' po            | olicies [ | Yes No                    |               |             |
| 12. | Are any additional insur                                     | eds to be        | added to your policy                              | Yes                    | ☐ No      | Explain:                  |               |             |
| 13. | Indicate % of work perfo                                     | ormed in:        |   |                        |           |                           |               |             |
|     | New Construction<br>Commercial<br>Spec Homes<br>Condominiums | %<br>%<br>%<br>% | Remodeling<br>Industrial<br>Custom Homes<br>Other | %<br>%<br>%<br>% - Ех  | Townh     | ntial Tract Subd<br>ouses | ivision       | %<br>%<br>% |
| 14. | Applicant is a (% of each                                    | ı):              |   |                        |           |                           |               |             |
|     | General Contractor<br>Owner/Builder                          | % Sub<br>%       | contractor %<br>Construction Mg                   | Develop<br>r./Consulta |           | %<br>%                    |               |             |
| 15. | What is the minimum no in one year?                          | umber of         | buildings (or projects                            | s) you have            | e helped  | construct, remo           | odel or repai | r           |
|     | Total Residential  | Resid            | lential in any single h                           | ousing dev             | velopme   | nt Com                    | nmercial      |             |
|     | How many do you plan   | to constru       | ıct, remodel or repaiı                            | in the ne              | xt twelve | e months?                 |               |             |
|     | Total Residential  | Resid            | lential in any single h                           | ousing dev             | velopme   | nt Com                    | nmercial      |             |

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### **Critical Operations Performed By You or on Your Behalf**

16. Do you now or have you in the past, or do you plan in the future, to supervise, subcontract out or perform any of the following:

|                                  | Ву Ме | By Subs | None |                                    | Ву Ме | By Subs | None |
|----------------------------------|-------|---------|------|------------------------------------|-------|---------|------|
| Airport or strip work            |       |         |      | Lead abatement or paint removal    |       |         |      |
| Architectural/design engineering |       |         |      | LPG work                           |       |         |      |
| Asbestos abatement               |       |         |      | Medical or industrial life support |       |         |      |
| Boiler Installation or repair    |       |         |      | Oil refinery or pipeline<br>work   |       |         |      |
| Bridge construction              |       |         |      | Overpass construction              |       |         |      |
| Caisson work                     |       |         |      | Railroad work                      |       |         |      |
| Concrete tilt-up construction    |       |         |      | Process piping                     |       |         |      |
| Sam or reservoir<br>work         |       |         |      | Retaining walls                    |       |         |      |
| Demolition                       |       |         |      | Swimming pool construction         |       |         |      |
| Environmental<br>clean-up        |       |         |      | Synthetic stucco or EFIS<br>work   |       |         |      |
| Fire proofing                    |       |         |      | Traffic control construction       |       |         |      |

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#### PREMIER UNDERWRITERS, INC.

| Fire sprinkler work  |                       |              |            | Underground tank work                        |                     |             |         |
|--|-----------------------|--------------|------------|--|---------------------|-------------|---------|
| Framing  | Framing Use of cranes |              |            |  |                     |             |         |
| Gas line, main or pump work  |                       |              |            | Use of scaffolding                           |                     |             |         |
| Highway or road construction   |                       |              |            | Utilities work                               |                     |             |         |
| Industrial machinery<br>or repair  |                       |              |            | Wrap-ups                                     |                     |             |         |
| Explain all "By Me"  | or "By Su             | bs" respor   | ises:      |  |                     |             |         |
| 17. Do you do  | framing jo            | bs 🗌 Yes     | ☐ No       | If yes, how many homes                       | per year            |             |         |
| •  |                       |              |            | al Contractor in the buildi<br>ent Buildings | ng of Resider<br>No | ntial Home  | S,      |
| If yes, max  | imum num              | nber built o | during an  | y 12-month period during                     | the last five       | years"      |         |
| Resi   | dential Ho            | mes          | Condo      | s Townhouses                                 | Apartm              | ent Buildir | ngs     |
| 19. Any work p   | erformed              | above thr    | ee stories | s in height Yes N                            | o Maximum           | # of storie | es      |
| 20. Any work performed below grade Yes No Maximum depth ft.; % of total work |                       |              |            |  |                     |             |         |
| 21. Do you have a formal safety program in operation Yes No                  |                       |              |            |  |                     |             |         |
| Please exp   | ain or pro            | vide a cop   | У          |  |                     |             |         |
| 22. Have you e   | ver built o           | or do you ii | ntend to l | build on hillsides, slopes,                  | landfills or in     | subsidenc   | e areas |
| Yes  | No If yes             | , explain    |            |  |                     |             |         |
| Percent of   | grade                 | ft. Pri      | or testing | g (geological, topical)                      | Yes \ \ \ No If     | yes Explai  | n       |

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|     | • •         |   |                  |                       |  |
|-----|-------------|---|------------------|-----------------------|--|
| 23. | _           | uever had a Construction Defect suit Ye |                  | /claim or been involv | ved in a class action                                      |
|     | If yes, pro | ovide details:                          |                  |                       |  |
| Da  | ate of Loss | <b>Description of Loss</b>              | Amount Paid      | Amount Reserved       | Claim Status (Open or Closed)                              |
|     |             |   |                  |                       |  |
| If  | more than   | one loss of this type -                 | - describe:      |                       |  |
| 24. | Have any    | events occurred prio                    | or to the propos | ed effective date tha | at may result in a claim                                   |
|     | Yes [       | No If yes, explain                      |                  |                       |  |
| 25. | •           | tment or possible dev                   | -                | •                     | mprovement activity, held only the future? No buildings on |
|     | If yes, is  | property zoned? 🗌 F                     | Residential 🔲    | Commercial/Retail [   | Industrial Other   |
|     | If zoned    | residential, provide lo                 | ocation descript | ions and number of l  | lots at each development:                                  |
|     | # of Acre   | <u>s</u>                                | # of Lots        | Location d            | <u>escription</u>  |

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| 26. | Do you own any real estate development property (Land with improvements-streets, roads, utilities, etc. completed or under construction)     |
|-----|--|
|     | If yes, is property zoned?  Residential Commercial/Retail Industrial Other   |
|     | If zoned residential, provide location descriptions and number of lots at each development:  |
|     | # of Acres # of Lots Location description  |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
| 27. | Any underground storage tanks  Yes  No If yes, when inspected and by whom?   |
| 28. | Any employees working under:   |
|     | U.S. Longshoremen's and Harborworkers' Act   |
|     | If checked above, what percent of payroll % Give city and State  |
| 29. | Have you ever been named in litigation alleging faulty construction, construction defects or mold?   |
|     | Yes No If yes, in which state Describe nature and date of work, amount paid and reserved   |
| 30. | Are any of the entities named in the application involved in any other business besides building contracting \sum Yes \sum No Of no, explain |
| 31. | List the states in which you currently or plan to operate or in which you have a contractor's license  |

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| 32. | Have you ever do   | one any work in A | Z, CA, | , CO, NV, C | R, TX or W | VA      | ∐ Yes ∐  | No    |                 |
|-----|--|-------------------|--------|-------------|------------|---------|----------|-------|-----------------|
|     | If yes, give years worked there and type of work done                        |                   |        |             |            |         |          |       |                 |
| 33. | Do you hold other person's property for service, storage, or repair  Yes  No |                   |        |             |            |         |          |       |                 |
| 34. | Does applicant have Workers' Compensation coverage in force Yes No           |                   |        |             |            |         |          |       |                 |
| 35. | Does applicant lease employees   |                   |        |             |            |         | Yes _    | No    |                 |
| 36. | During the past t issue similar insu   | rance to the appl | icant  | · · _       | No If y    | es, exp |          | lined | l or refused to |
|     |  | Year              | Yea    | r           | Year       |         | Year     |       | Year            |
|     | Carrier  |                   |        |             |            |         |          |       |                 |
|     | Policy #   |                   |        |             |            |         |          |       |                 |
|     | Total<br>Premium   |                   |        |             |            |         |          |       |                 |
|     |  | Los               | s His  | story – F   | ive Yeaı   | r Peri  | od       |       |                 |
|     | Date of Loss   | Description       | of     | Amoui       | nt Paid    | 1       | Amount   |       | Claim Status    |
|     |  | Loss              |        |             |            | F       | Reserved | (0    | Open or Closed) |
|     |  |                   |        |             |            |         |          |       |                 |
|     |  |                   |        |             |            |         |          |       |                 |
|     |  |                   |        |             |            |         |          |       |                 |
|     |  | 1                 |        | I           |            | 1       |          |       |                 |

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This application does not bind the applicant nor the company to complete the insurance, but it is agreed that the information contained herein shall be the basis of the contract should a policy be issued.

#### APPLICABLE IN THE STATE OF NEW YORK

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each violation.

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#### FRAUD WARNING

| Applicant Signature & Date      |                                     |
|---------------------------------|-------------------------------------|
|                                 |                                     |
| Producer Signature & Date       | Producer Name & Address             |
| AME AND PHONE NUMBER OF INDIVID | UAL TO CONTACT FOR INSPECTION/AUDIT |

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